

# Properties of the eigenfunctions of the SFS operator with $\alpha = 0$

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LMS Women in Mathematics Day, May 11, 2022



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- I have been working on a functional linear operator called the Stretch-Fold-Shear (SFS) operator
- Model of magnetic field growth in kinematic dynamo theory [[Bayly and Childress, 1988](#)] and [[Gilbert, 2002](#)]
- The existence of an eigenvalue  $\lambda$  of the SFS operator of modulus greater than one ensures dynamo growth

- $\mathcal{F} = \{c(x) \text{ complex-valued function of a real variable } x \in [-1, 1]\}$
- SFS operator  $S : \mathcal{F} \rightarrow \mathcal{F}$
- $S(c)(x) = e^{i\alpha \frac{(x-1)}{2}} c\left(\frac{x-1}{2}\right) - e^{i\alpha \frac{(1-x)}{2}} c\left(\frac{1-x}{2}\right)$
- $\alpha \geq 0$  (real parameter) is the shear parameter
- For  $\alpha = 0$ ,  $S(c)(x) = c\left(\frac{x-1}{2}\right) - c\left(\frac{1-x}{2}\right)$

$k$	Degree	$\lambda$	$c(x)$
0	1	1	$x - 1$
1	3	$1/4$	$x^3 - 3x^2 - x + 3$
2	5	$1/16$	$x^5 - 5x^4 - \frac{10x^3}{3} + 30x^2 + \frac{7x}{3} - 25$
3	7	$1/64$	$x^7 - 7x^6 - 7x^5 + 105x^4 + \frac{49x^3}{3} - 525x^2 - \frac{31x}{3} + 427$
$k$	$2k + 1$	$1/4^k$	Polynomial of degree $2k + 1$

**Table:** The eigenvalue-eigenfunction pairs for  $\alpha = 0$ .

*Why carry the eigenfunctions  
separately?  
Why not put them all in a bag and  
just carry the bag only!*



- A generating function  $G(x)$  is a formal power series

$$G(x) = \sum_{n=0}^{\infty} a_n x^n$$

whose coefficients give the sequence  $\{a_0, a_1, \dots\}$

- Transformation of sequences into functions
- Constitution of a generating function for the polynomial eigenfunctions of the SFS operator with  $\alpha = 0$
- Using the generating function to find general properties of the eigenfunctions

- Perron-Frobenius (PF) operator [[Vepstas, 2014](#)] for the binary shift given by

$$\mathcal{L}f(x) = \frac{1}{2} \left[ f\left(\frac{x}{2}\right) + f\left(\frac{x+1}{2}\right) \right]$$

- Similar to the SFS operator with  $\alpha = 0$  given by

$$Sc(x) = c\left(\frac{x-1}{2}\right) - c\left(\frac{1-x}{2}\right)$$



- Bernoulli polynomials  $B_n(x)$  [[Wikipedia, 2021](#)] and [[Wolfram MathWorld, 2021](#)] are the eigenfunctions of the PF operator with eigenvalues  $\lambda_n = 2^{-n}$
- The first Bernoulli polynomials are

$$B_0 = 1$$

$$B_1 = x - \frac{1}{2}$$

$$B_2 = x^2 - x + \frac{1}{6}$$

$$B_3 = x^3 - \frac{3}{2}x^2 + \frac{1}{2}x$$

- The generating function of the Bernoulli polynomials:

$$G_B(x, t) = \frac{e^{xt}}{g(t)} = \sum_{n=0}^{\infty} B_n(x) \frac{t^n}{n!} \quad \text{where } g(t) = \frac{e^t - 1}{t}.$$

- We assume a generating function for SFS eigenfunctions of the following form:

$$G(x, t) = \frac{e^{(x-1)t} - e^{(1-x)t}}{g(t)}.$$

- We need to find the function  $g(t)$  so that,

$$G(x, t) = \sum_{n=0}^{\infty} c_n(x) \frac{t^n}{n!} \text{ and } SG(x, t) = G(x, bt)$$

$$\text{i.e. } S \left( \sum_{n=0}^{\infty} c_n(x) \frac{t^n}{n!} \right) = \sum_{n=0}^{\infty} c_n(x) b^n \frac{t^n}{n!}$$

where  $c_n(x)$  is an eigenfunction of degree  $n$  with eigenvalue  $b^n$ .

The generating function for the eigenfunctions is given by

$$G(x, t) = \frac{2t[e^{(x-1)t} - e^{(1-x)t}]}{e^{2t} - e^{-2t}}$$

This generating function gives all the eigenfunctions of  $S$  for  $\alpha = 0$  as the coefficients of  $\frac{t^n}{n!}$ .

- $c_n$  can be expressed in terms of  $B_n$  as follows:

$$c_n(x) = \begin{cases} 2^{2n} B_n\left(\frac{x+1}{4}\right), & n \text{ odd} \\ 0, & n \text{ even} \end{cases} \quad (1)$$

- For even  $n$ , we don't have any eigenfunction  $c_n$  of the SFS operator.
- For odd  $n$ ,  $B_n$  can also be expressed in terms of  $c_n$  as follows:

$$B_n(x) = 2^{-2n} c_n(4x - 1). \quad (2)$$

- Evaluating eigenfunctions at various points to see whether there are common properties
- Verifying the properties using generating functions
- Finding properties using the relationship with Bernoulli polynomials

## Difference

1.  $c_n(x + 2) - c_n(x) = -2(\text{even part of } c_n(x))$

2.  $c_n(x + 4) - c_n(x) = 4n(x + 1)^{n-1}$

## Multiplication

3.  $c_n(mx) = m^{n-1} \sum_{k=0}^{m-1} c_n\left(x + \frac{4k+1}{m} - 1\right)$  where  $m \in \mathbb{N}, m \neq 0$



## Symmetry

4.  $c_n(b - x) = -c_n(a + x)$  where  $a + b = 2$  and  $a, b \in \mathbb{R}$

5.  $c_n(b - x) = -c_n(a + x) + 4n\left(\frac{a - b}{2} + x\right)^{n-1}$  where  $a + b = 6$  and  $a, b \in \mathbb{R}$

## Explicit formula

$$6. c_m(x) = 2^{2m} \sum_{n=0}^m \frac{1}{n+1} \sum_{k=0}^n (-1)^k \binom{n}{k} \left( \frac{x+1}{4} + k \right)^m$$

## Sums of the powers of consecutive integers

$$7. \sum_{k=0}^{m-1} k^{n-1} = \frac{1}{2^{2n} n} c_n (4m - 1) \text{ where } m \in \mathbb{N}, m \neq 0$$

## Fourier series

$$8. c_n(x) = -2^{n+1}n! \sum_{k=1}^{\infty} \frac{\cos\left(\frac{k\pi x}{2} + \frac{(k-n)\pi}{2}\right)}{(k\pi)^n} \text{ where } -1 < x < 3$$

## Sum identity

$$9. \sum_{k=0}^n (-1)^{k+n} \binom{n}{k} c_n(4k-1) = 2^{2n} n!$$

## Derivative

$$10. \frac{d^2}{dx^2} c_n(x) = n(n-1)c_{n-2}(x)$$

## Single integral

$$11. \int_{a-x}^{b+x} c_n(u) du = 0 \text{ where } a + b = 2 \text{ and } a, b \in \mathbb{R}$$

$$12. \int_{3-x}^{3+x} c_n(u) du = 4x^n$$

$$13. \int_{x-1}^{x+3} c_n(u) du = 4x^n$$

## Double integral

$$14. \int_{a-x}^x \int_{a-z}^z c_n(u) du dz = 0$$

$$15. \int_{-x}^{1+x} \int_z^{1+z} c_n(u) du dz = 0$$

$$16. \int_x^{1-x} \int_z^{1+z} c_n(u) du dz = 0$$

$$17. \int_{-x}^{1+x} \int_z^{1-z} c_n(u) du dz = 0$$





I have randomly chosen just one of the properties to verify here:

$$c_n(b - x) = -c_n(a + x) \text{ where } a + b = 2 \text{ and } a, b \in \mathbb{R}$$

$c_n(b - x) = -c_n(a + x)$  where  $a + b = 2$  and  $a, b \in \mathbb{R}$

Remember that, the generating function for the eigenfunctions is

$$G(x, t) = \sum_{n=0}^{\infty} c_n(x) \frac{t^n}{n!} = \frac{2t[e^{(x-1)t} - e^{(1-x)t}]}{e^{2t} - e^{-2t}}$$

$$\begin{aligned} \text{Now, } \sum_{n=0}^{\infty} -c_n(a + x) \frac{t^n}{n!} &= \sum_{n=0}^{\infty} -c_n(2 - b + x) \frac{t^n}{n!} \\ &= -G(2 - b + x, t) \\ &= -\frac{2t}{e^{2t} - e^{-2t}} \left[ e^{(2-b+x-1)t} - e^{(1-2+b-x)t} \right] \end{aligned}$$

$c_n(b - x) = -c_n(a + x)$  where  $a + b = 2$  and  $a, b \in \mathbb{R}$

$$\begin{aligned}\sum_{n=0}^{\infty} -c_n(a + x) \frac{t^n}{n!} &= \frac{2t}{e^{2t} - e^{-2t}} \left[ e^{(b-x-1)t} - e^{(1-b+x)t} \right] \\ &= G(b - x, t) \\ &= \sum_{n=0}^{\infty} c_n(b - x) \frac{t^n}{n!}\end{aligned}$$

Equating the coefficients of like powers of  $t^n$  from both sides we get,

$$c_n(b - x) = -c_n(a + x) \text{ where } a + b = 2.$$

- Obtaining a way to generate the polynomial eigenfunctions of the SFS operator (for  $\alpha = 0$ )
- Finding a relationship of these polynomials with the Bernoulli polynomials
- Discovering some properties for these polynomials

- Investigate whether there are any analogous generating methods for  $\alpha > 0$
- Computer-assisted proofs for  $\alpha > 0$



Bernoulli polynomials - Wikipedia

`https:`

`//en.wikipedia.org/wiki/Bernoulli_polynomials.`



Bernoulli polynomials - Wolfram MathWorld

`https:`

`//mathworld.wolfram.com/BernoulliPolynomial.html.`



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*Thank You*